

WORKMAN NYDEGGER
A PROFESSIONAL CORPORATION
ATTORNEYS AT LAW
1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPLE
SALT LAKE CITY, UTAH 84111
TELEPHONE (801) 533-9800
FAX (801) 328-1707

RECEIVED
CENTRAL FAX CENTER

MAY 11 2005

TELECOPIER COVER SHEET

11 May 2005

Total Number of Pages
(including cover letter): 67

Please deliver the transmitted facsimile pages to:

GROUP ART UNIT 1614

Telecopier Phone: (703) 872-9306

From: Rick D. Nydegger

Comments: Please see attached.

Docket No.: 7678.791

Serial No.: 10/646,484

We are transmitting from a Sharp FO-750 or Sharp FO-6100 facsimile machine. If you do not receive all the pages or they are unreadable, please contact me as soon as possible at (801) 533-9800.

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS ATTORNEY PRIVILEGED AND CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

1000000057V001

Filed Via Facsimile

PATENT APPLICATION
Docket No.: 7678.791

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Peter M. Allred and Neil T. Jessop

Serial No. 10/646,484

Filed: August 22, 2003

For: COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING SUCH COMPOSITIONS AND DEVICES

Examiner: Not yet known

Customer No.: 022913

RECEIVED
CENTRAL FAX CENTER
MAY 11 2005

) Art Unit
) 3749

)
)
)
)
)
)
)
)
)
)

CERTIFICATE OF FACSIMILE TRANSMISSION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that this paper is being transmitted via facsimile to the Patent and Trademark Office to phone no. (703) 872-9306 (Formal Fax No.) on the date shown below.

- Transmittal Letter (2 pages)
- Supplemental Information Disclosure Statement (2 pgs.)
- Form PTO-1449 Listing Thirty Five (35) References (3 pgs.)
- Copy of two (2) cited references

Dated this 11 day of May, 2005.

Respectfully submitted,


 RICK D. NYDEGGER
 Registration No. 28,651
 Attorney for Applicant
 Customer No. 022913

RDN:rc
r:0000003960V001

RICK D. NYDEGGER
 RENEE P. LORIMORE*
 THOMAS R. VINSICK
 LAURIE R. LAYCOCK
 JONATHAN W. RICHARDS
 DAVID R. WRIGHT
 JOHN C. SPRINGERAM
 JOHN M. GUYNN
 CHARLES L. ROBERTS
 DANA J. TAKIRIAN
 ERIC L. MASCHIOFF
 CHARLES J. VELVERKA
 RANDY L. PHILIPS
 RICHARD G. CILMORO *
 STEPHEN A. BRUNNAN
 R. BURNS INGRAM
 DAVID R. TOTH
 DAVID B. DELIBREK
 L. DAVID GRINN
 ADRIAN J. LIU
 FRANK D. BOY
 CARL T. RHOD



J. PARISH FREEMAN, JR.
 PETE S. MALCOLM, JR.
 L. REX SEARS, III, Jr.
 WILLIAM H. RICHARD
 ERIC M. KAMPRATH
 ROBERT E. AYKICK
 JENS C. JENKINS
 KEVIN W. STINGLIER
 WILLIAM J. ATTIA
 MICHAEL B. DODD
 RYAN D. BENSON
 SARA D. JONES
 ERIC KLEINER
 JANNA L. JENKINS
 MARTIN W. D. TOWN
 J. LEVAR ODELLAM
 MICHAEL J. FROSTUM
 JOSEPH L. KRUPA
 BRETT A. HANNIN
 BRUCE I. JOHNSON
 MATTHEW A. BARLOW
 WESLEY C. ROSENBLATT
 ANDREW S. HAMILTON
 CHARLES NYDEGGER
 JOSEPH G. PIA
 CLINTON R. DURKE
 RYAN N. PAIR
 JAMES B. BELLIF
 KURT R. HARRIS
 KERRY SCHNEIDER
 MIRIAM M. BALLARD
 DAVID A. JONES
 SHANE K. JENKINS
 JONATHAN M. HENRY, Ph.D.,
 VERNON R. RIGG, §
 OF COUNSEL.

WORKMAN | NYDEGGER

INTELLECTUAL PROPERTY ATTORNEYS

1000 PACIFIC GATE TOWER
 60 EAST SOUTH TEMPLE
 SALT LAKE CITY, UTAH 84111
 TELEPHONE: (801) 533-9800
 FAX: (801) 328-1707
 WEBSITE: [HTTP://WWW.WNLAW.COM](http://www.wnlaw.com)

Filed Via Facsimile

RECEIVED
 CENTRAL FAX CENTER

MAY 11 2005

PATENT APPLICATION
 Docket No.: 7678.791

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Peter M. Allred and Neil T. Jessop

Serial No. 10/646,484

) Art Unit
3749

Filed: August 22, 2003

For: COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING SUCH COMPOSITIONS AND DEVICES

Examiner: Not yet known

Customer No.: 022913

TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is a Supplemental Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

Form PTO-1449 list of Thirty Five (35) references submitted for consideration.

Letter to Commissioner of Patents
Page Two

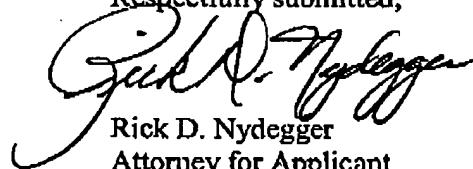
Copies of two (2) cited foreign references

Because this Information Disclosure Statement is being filed prior to the mailing of the first substantive office action, no fee is required.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 11 day of May, 2005.

Respectfully submitted,



Rick D. Nydegger
Attorney for Applicant
Registration No. 28,651
Customer No. 022913
801-533-9800

RDN:rc
rc0000003958V001

RECEIVED
CENTRAL FAX CENTER

Filed Via Facsimile

MAY 11 2005

PATENT APPLICATION
Docket No.: 7678.791

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)

Peter M. Allred and Neil T. Jessop)

Serial No. 10/646,484)

Art Unit
3749

Filed: August 22, 2003)

For: COMPOSITIONS AND DEVICES HAVING A TRAY-)
LIKE CONFIGURATION FOR DELIVERING AN)
ORAL MEDICAMENT AND METHODS OF)
MANUFACTURING SUCH COMPOSITIONS)
AND DEVICES)

Examiner: Not yet known)

Customer No.: 022913)

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

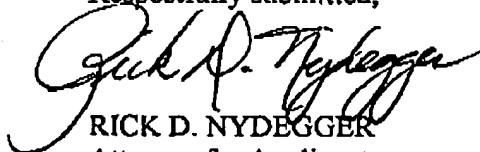
Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion of the foreign references are also enclosed. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 11 day of May , 2005.

Respectfully submitted,



RICK D. NYDEGGER
Attorney for Applicant
Registration No. 28,651
Customer No. 022913

RDN:rc
rc0000003959V001

Form PTO-1449

Sheet 1 of 3

Applicant: Peter M. Allred et al.
 Serial No.: 10/646,484
 Filing Date: August 22, 2003
 For: Compositions and devices having a tray-like configuration for delivering an oral medicament and methods of manufacturing and using such compositions and devices

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT**U.S. Patent Documents**

Examiner Initial*	Patent Number	Issue Date	Name	Class	Sub Class	Filing Date
_____ A1.	165,584	07/13/1875	Hopfen	--	--	06/10/1875
_____ A2.	1,637,153	07/26/27	Lawton	--	--	10/23/26
_____ A3.	2,257,709	09/30/41	Anderson	128	260	10/14/38
_____ A4.	3,339,547	09/05/67	Drabkowski	128	260	11/27/64
_____ A5.	3,527,219	09/08/70	Greenberg	128	260	08/21/67
_____ A6.	3,577,640	05/04/71	Lee	32	32	10/08/69
_____ A7.	3,624,909	12/07/71	Greenberg	32	40	05/26/70
_____ A8.	3,955,281	05/11/76	Weitzman	32	14 B	12/05/74
_____ A9.	4,044,762	08/30/77	Jacobs	128	136	08/23/76
_____ A10.	4,063,552	12/20/77	Going et al.	128	136	04/02/76
_____ A11.	4,064,628	12/27/77	Weitzman	32	14 B	03/08/76
_____ A12.	4,138,814	02/13/79	Weitzman	32	14 B	12/22/77
_____ A13.	4,902,227	02/20/90	Smith	433	215	05/04/88
_____ A14.	5,051,476	09/24/91	Uji et al.	525	186	03/23/90
_____ A15.	5,085,585	02/04/92	Zimble	433	80	02/05/91
_____ A16.	5,211,559	05/18/93	Hart et al.	433	80	06/02/92
_____ A17.	5,356,291	10/18/94	Darnell	433	216	01/26/93

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Sheet 2 of 3

Applicant: Peter M. Allred et al.
 Serial No.: 10/646,484 Att'y Docket No.: 7678.791
 Filing Date: August 22, 2003 Group: 3749
 For: Compositions and devices having a tray-like configuration for delivering an oral
 medicament and methods of manufacturing and using such compositions and devices

<u> </u> A18.	5,376,006	12/27/94	Fischer	433	215	09/24/92
<u> </u> A19.	5,573,399	11/12/96	McClintock, II	433	80	10/24/94
<u> </u> A20.	5,631,000	05/20/97	Pellico	424	53	03/11/96
<u> </u> A21.	5,702,251	12/30/97	McClintock, II	433	80	05/31/96
<u> </u> A22.	5,752,826	05/16/96	Andreiko	433	41	05/19/98
<u> </u> A23.	5,851,512	12/22/98	Fischer	424	49	05/30/97
<u> </u> A24.	5,895,218	04/20/99	Quinn et al.	433	80	08/10/98
<u> </u> A25.	5,922,307	07/13/99	Montgomery	424	53	09/25/96
<u> </u> A26.	5,985,249	11/16/99	Fischer	424	49	10/14/97
<u> </u> A27.	6,089,869	07/18/00	Schwartz	433	215	03/15/99
<u> </u> A28.	6,309,625	10/30/01	Jensen et al.	424	49	11/12/98
<u> </u> A29.	6,312,671	11/06/01	Jensen et al.	424	53	12/20/99
<u> </u> A30.	6,331,292	12/18/2001	Montgomery	424	53	11/16/98
<u> </u> A31.	6,364,665	04/02/02	Trettemerp	433	215	04/04/00
<u> </u> A32.	6,379,147	04/30/02	Gcorgakis et al.	433	37	06/26/00
<u> </u> A33.	6,488,914	12/3/2002	Montgomery	424	53	10/31/01

Foreign Patent Documents

<u>Examiner</u>	<u>Document No.</u>	<u>Publication Date</u>	<u>Country or Pat Office</u>
<u> </u> A34.	WO 03/000216	3 Jan 2003	WIPO
<u> </u> A35.	WO 88/06869	22 September 1988	WIPO

Examiner: _____ Date Considered: _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Sheet 3 of 3

Applicant: Peter M. Allred et al.
Serial No.: 10/646,484 Att'y Docket No.: 7678.791
Filing Date: August 22, 2003 Group: 3749
For: Compositions and devices having a tray-like configuration for delivering an oral
medicament and methods of manufacturing and using such compositions and devices

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initiated by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

re0000003891V001

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.